

## PERSONAL FINANCIAL QUESTIONNAIRE

for

Managed Margin FX Accounts

Authorised and regulated by  
the Financial Services Authority

The ECU Group plc • 73 Brook Street • London • W1K 4HX  
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Registered in England No 2296619

**PERSONAL DETAILS**

Applicant 1

Applicant 2

Prefix (Mr, Mrs, Miss, Ms)



Details (if other)



Surname



Forename name(s)



Date of birth



Marital status



Dependants (Number and Age)



Current address













Postcode



Own/Rent/Parental Home



Tel no - daytime



Tel no - evening



Mobile



Preferred contact method



Best contact time



Email



Benchmark currency

Investment Size

Margin Amount (10%)

Source of funds

Employment

Investment

Inheritance

Other (please specify)

Special instructions

**EMPLOYMENT (FOR SELF-EMPLOYED SEE NEXT PAGE)**

Applicant 1

Applicant 2

Occupation	<input type="text"/>	<input type="text"/>
Time with current employer	<input type="text"/>	<input type="text"/>
Employment type (Full/Part time)	<input type="text"/>	<input type="text"/>
Previous occupation if less than 1 year	<input type="text"/>	<input type="text"/>
Previous employment start date	dd /mm /yy	dd /mm /yy
Previous employment end date	dd /mm /yy	dd /mm /yy
Details of any current probation period	<input type="text"/>	<input type="text"/>
Employer's name	<input type="text"/>	<input type="text"/>
Employer's address	<input type="text"/>	<input type="text"/>
	<input type="text"/>	<input type="text"/>
	<input type="text"/>	<input type="text"/>
	<input type="text"/>	<input type="text"/>
Postcode	<input type="text"/>	<input type="text"/>
Employer's phone number	<input type="text"/>	<input type="text"/>
Basic income (Gross)	£ <input type="text"/>	£ <input type="text"/>
Is bonus guaranteed?	Yes <input type="checkbox"/> No <input type="checkbox"/>	Yes <input type="checkbox"/> No <input type="checkbox"/>
Bonus History (last 3 years)	£ <input type="text"/>	£ <input type="text"/>
	£ <input type="text"/>	£ <input type="text"/>
	£ <input type="text"/>	£ <input type="text"/>
When is it paid?	<input type="text"/>	<input type="text"/>
Any other income?	£ <input type="text"/>	£ <input type="text"/>

Please give details:

**PFQ – MANAGED MARGIN FX ACCOUNTS**
**EMPLOYMENT (SELF-EMPLOYED)**
**Applicant 1**
**Applicant 2**

Date business established	<input type="text"/>	<input type="text"/>
Nature of business	<input type="text"/>	<input type="text"/>
Company name	<input type="text"/>	<input type="text"/>
Address	<input type="text"/>	<input type="text"/>
	<input type="text"/>	<input type="text"/>
	<input type="text"/>	<input type="text"/>
	<input type="text"/>	<input type="text"/>
Postcode	<input type="text"/>	<input type="text"/>
Year end date	dd /mm /yy	dd /mm /yy
Percentage shareholding	<input type="text"/>	<input type="text"/>
PAYE income	£ <input type="text"/>	£ <input type="text"/>
Net profit - current year	£ <input type="text"/> Yr end	£ <input type="text"/> Yr end
Net profit - last year	£ <input type="text"/> Yr end	£ <input type="text"/> Yr end
Net profit - previous year	£ <input type="text"/> Yr end	£ <input type="text"/> Yr end
Any other income?	£ <input type="text"/>	£ <input type="text"/>

Please give details:

**PFQ – MANAGED MARGIN FX ACCOUNTS**
**ATTITUDE TO RISK**

Trading in margined FX is inherently high risk. Bearing this in mind, please review and confirm your investment objectives:

I want to hedge against current and future market exposure.

I accept a moderately high degree of risk in order to secure a profit.

I have a very high profit expectation and accept an appropriately higher level of risk.

Please confirm that you understand and accept that you may lose in excess of any margin provided.

Yes  No

Can you afford to lose in excess of the margin provided without materially affecting your lifestyle?

Yes  No

**INVESTMENT EXPERIENCE**

	Number of years	Frequency
Shares and/or Bonds	<input type="text"/>	<input type="text"/>
Exchange-traded derivatives (future, options, etc.)	<input type="text"/>	<input type="text"/>
OTC derivatives (FX, CFDs, spread-betting, etc.)	<input type="text"/>	<input type="text"/>

Relevant professional qualifications and/or education/experience of trading OTC derivatives on the financial sector:

**ASSETS / LIABILITIES DETAILS (JOINT AS APPROPRIATE)**

Assets	£	Liabilities	£
Principal residence	£	Mortgage(s) principal residence	£
Other property	£	Mortgage(s) other property	£
Quoted investments	£	Overdraft(s)/Loan(s)	£
Capital in business	£	Credit card(s)	£
Cash deposits	£	Other (please give details below)	£
Other (please give details below)	£		
<b>Total</b>	<b>£</b>	<b>Total</b>	<b>£</b>

Additional details:

DECLARATIONS

I/We declare by my/our signature(s):

- To have received, read and understood the product information material relating to the relevant product;
- To have received additional in-depth verbal information about the product and the inherent risks; and
- To have received satisfactory answers to all my/our questions regarding the terms, conditions and other issues relating to the managed account product.

Furthermore, I/we confirm:

- That the information provided by me/us and inserted in this form is correct; and
- That the investment amount has been chosen by me/us taking my/our financial circumstances into consideration and is by me/us considered appropriate under such circumstances,

Signature  
(Applicant 1)

Signature  
(Applicant 2)

Date

Date

**NB:** PLEASE NOTE THAT WE ARE UNABLE TO PROCESS YOUR APPLICATION UNLESS ALL QUESTIONS ARE ANSWERED

ADDITIONAL INFORMATION